

Docket No.: SHO-0022

Confirmation No.: 7736

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Takanobu Adachi et al.

Application No.: 10/697,441

Filed: 10/31/2003 Art Unit: 3711

For: Gaming machine Examiner: Not Yet Assigned

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Submitted herewith on Form PTO-1449 or PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 or PTO/SB/08 be returned in accordance with MPEP §609.

- A copy of each listed document is being submitted to comply with the provisions of 37 CFR §§1.97 and 1.98
  - excluding a copy of U.S. patent or U.S. patent application publication as waived by the U.S. Patent and Trademark Office, since this application was filed after June 30, 2003 or has entered the national stage under 35 U.S.C. § 371 after June 30, 2003 (1276 OG 55).

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Concise Explanation of Relevancy of the Document (Fill out if no English translation, partial translation or English abstract is available)

Any document having neither English translation nor English abstract relates to the subject matter of the above-identified application. English translation of the

document is not readily available; however, the absence of such translations does not relieve the PTO from its duty to consider the submitted document (37 C.F.R. §1.98 and MPEP §609).

- 1. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the present application. No fee is required. If, however, a first Office Action on the merits is issued, no fee is required in view of the statement below (37 C.F.R. §1.97(b)).
  - a. Each item of information contained in this Information Disclosure
    Statement was first cited in any communication from a foreign patent office in a
    counterpart foreign application not more than three months prior to the filing of
    this Information Disclosure Statement. 37 CFR §1.97(e)(1).

Dated: October 22, 2004

Respectfully submitted,

Robert S. Green

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Substitute for form 1449A/B/PTO

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of

Complete if Known				
Application Number	10/697,441			
Filing Date	10/31/2003			
First Named Inventor	Takanobu Adachi			
Art Unit	3711			
Examiner Name				
Attorney Docket Number	SHO-0022			

U.S. PATENT DOCUMENTS					
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	AA	US-2003/0087690-A1	05-08-2003	Timothy Loose et al.	
	AB	US-2003/0087687-A1	05-08-2003	David Locke et al.	
	AC	US-2002/0142825-A1	10-03-2002	David Lark et al.	
	AD	US-6350199-B1	02-26-2002	James R. Williams et al.	
	AE	US-2001/0031658-A1	10-18-2001	Masaaki Ozaki et al.	

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	FOREIGN PATENT DOCUMENTS						
	Cite	Foreign Patent Document	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (If known)	Date MM-DD-YYYY			T⁵	
	BA	JP-2002113150	04-16-2002	Aruze Corporation			
	BB	JP-2000116843	04-25-2000	Ever Prospect International			
	l			Ltd.			
	BC	WO-03/054818-A1	07-03-2003	Proindumar, S. L.			
	BD	EP-0887775-A1	05-15-1998	Universal Sales Co., Ltd.			
	BE	GB-2365191-A	02-13-2002	Gamesman Limited			
	BF	EP-1111558-A2	12-22-2000	Aruze Corporation			

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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
	CA	European Search Report Dated July 29, 2004		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
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